

# Ground States for Elliptic Equations in $\mathbb{R}^2$ with Exponential Critical Growth

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## Abstract

In this paper, we obtain a mountain pass characterization of ground state solutions for some class of elliptic equations in  $\mathbb{R}^2$  with nonlinearities in the critical (exponential) growth range.

## 1 Introduction

This paper is concerned with the existence of solutions of a nonlinear scalar field equation of the form

$$-\Delta u = g(u) \quad \text{in } \mathbb{R}^2, \quad u \in H^1(\mathbb{R}^2), \quad (1)$$

and in particular we will study the following problem

$$-\Delta u + u = f(u) \quad \text{in } \mathbb{R}^2, \quad u \in H^1(\mathbb{R}^2), \quad (2)$$

that is, problem (1) with  $g(s) := f(s) - s$ .

The study of these kind of problems is motivated by applications in many areas of mathematical physics. In particular, solutions of (2) provide stationary states for the nonlinear Klein-Gordon equation and for the nonlinear Schrödinger equation.

Problem (1) has been extensively studied starting from the fundamental papers due to Berestycki and Lions [4] and to Berestycki, Gallouët and Kavian [5]. We recall that these papers are both concerned with *subcritical* nonlinearities, in particular in [4] the authors treated nonlinearities with subcritical *polynomial* growth, while in [5] the authors treated nonlinearities with subcritical *exponential* growth. From now on, we will focus our attention on the case when the nonlinear term is of exponential type, since our aim is to study problem (2) with a nonlinearity  $f$  exhibiting a critical exponential growth.

The maximal growth which can be treated variationally in the Sobolev space  $H^1(\mathbb{R}^2)$  is given by the *Trudinger-Moser inequality*:

**Theorem 1.1** ([10], Theorem 1.1). *There exists a constant  $C > 0$  such that*

$$\sup_{u \in H^1(\mathbb{R}^2), \|u\|_{H^1} \leq 1} \int_{\mathbb{R}^2} (e^{4\pi u^2} - 1) dx \leq C \quad (3)$$

where  $\|u\|_{H^1}^2 := \|\nabla u\|_2^2 + \|u\|_2^2$  is the standard Sobolev norm. This inequality is sharp: if we replace the exponent  $4\pi$  with any  $\alpha > 4\pi$  the supremum is infinite.

In view of this inequality we say that a nonlinearity  $f$  has *critical growth* if there exists  $\alpha_0 > 0$  such that

$$\lim_{|s| \rightarrow +\infty} \frac{|f(s)|}{e^{\alpha s^2}} = \begin{cases} 0 & \text{for } \alpha > \alpha_0, \\ +\infty & \text{for } \alpha < \alpha_0. \end{cases}$$

Our aim is to obtain a mountain pass characterization of ground state solutions of problem (2). The natural functional corresponding to a variational approach to problem (2) is

$$I(u) := \frac{1}{2} \int_{\mathbb{R}^2} (|\nabla u|^2 + u^2) dx - \int_{\mathbb{R}^2} F(u) dx = \frac{1}{2} \int_{\mathbb{R}^2} |\nabla u|^2 dx - \int_{\mathbb{R}^2} G(u) dx, \quad u \in H^1(\mathbb{R}^2),$$

where  $F(s) := \int_0^s f(t) dt$  and  $G(s) := \int_0^s g(t) dt$ . We will say that  $I$  has a *mountain pass geometry*, if the following conditions hold:

$$(I_0) \quad I(0) = 0;$$

$$(I_1) \quad \text{there exist } \varrho, a > 0 \text{ such that } I(u) \geq a > 0 \text{ for any } u \in H^1(\mathbb{R}^2) \text{ with } \|u\|_{H^1} = \varrho;$$

$$(I_2) \quad \text{there exists } u_0 \in H^1(\mathbb{R}^2) \text{ such that } \|u_0\|_{H^1} > \varrho \text{ and } I(u_0) < 0.$$

We will always denote by  $c \in \mathbb{R}$  the *mountain pass value*

$$c := \inf_{\gamma \in \Gamma} \sup_{t \in [0, 1]} I(\gamma(t)), \quad \Gamma := \{\gamma \in \mathcal{C}([0, 1], H^1(\mathbb{R}^2)) \mid \gamma(0) = 0, I(\gamma(1)) < 0\}.$$

We recall also that a solution  $u$  of problem (2) is a *ground state* if  $I(u) = m$  with

$$m := \inf \{I(u) \mid u \in H^1(\mathbb{R}^2) \setminus \{0\} \text{ is a solution of (2)}\}.$$

In [8], Jeanjean and Tanaka obtain a mountain pass characterization of ground state solutions for the more general nonlinear scalar field equation (1) in the case when the nonlinearity  $g$  (not necessarily of the form  $f(s) - s$ ) has a *subcritical* exponential growth.

**Theorem 1.2** ([8]). *Assume*

$$(g_0) \quad g : \mathbb{R} \rightarrow \mathbb{R} \text{ is continuous and odd;}$$

$$(g_1) \quad \lim_{s \rightarrow 0} \frac{g(s)}{s} = -\nu < 0;$$

$$(g_2) \quad \text{for any } \alpha > 0 \text{ there exists } C_\alpha > 0 \text{ such that } |g(s)| \leq C_\alpha e^{\alpha s^2} \text{ for all } s \geq 0;$$

$$(g_3) \quad \text{there exists } s_0 > 0 \text{ such that } G(s_0) > 0.$$

Then the functional  $I(u) := \frac{1}{2} \int_{\mathbb{R}^2} |\nabla u|^2 dx - \int_{\mathbb{R}^2} G(u) dx$  belongs to  $\mathcal{C}^1(H^1(\mathbb{R}^2), \mathbb{R})$  and has a mountain pass geometry. Moreover the mountain pass value  $c$  is a critical value and  $0 < c = m$ .

Recently Alves, Montenegro and Souto [3] improved the arguments in [8], assuming  $g(s) = f(s) - s$  and considering nonlinearities with *critical* exponential growth.

**Theorem 1.3** ([3]). *Assume that*

$$(f_0) \quad f : \mathbb{R} \rightarrow \mathbb{R} \text{ is continuous and has critical exponential growth with } \alpha_0 = 4\pi;$$

$$(f_1) \quad \lim_{s \rightarrow 0} \frac{f(s)}{s} = 0 ;$$

$$(f_2) \quad 0 < 2F(s) \leq f(s)s \text{ for any } s \in \mathbb{R} \setminus \{0\} ,$$

$$(f_\eta) \quad \text{there exists } \eta > 0 \text{ and } q \in (2, +\infty) \text{ such that } f(s) \geq \eta s^{q-1} \text{ for all } s \geq 0.$$

If  $(f_\eta)$  holds with

$$\eta > \left( \frac{q-2}{q} \right)^{\frac{q-2}{q}} C_q^{\frac{q}{2}} \quad (4)$$

where  $C_q > 0$  is the best constant of the Sobolev embedding  $H^1(\mathbb{R}^2) \hookrightarrow L^q(\mathbb{R}^2)$ , namely

$$C_q \|u\|_q^2 \leq \|u\|_{H^1}^2 \quad \forall u \in H^1(\mathbb{R}^2) .$$

Then the mountain pass value  $c$  is a critical value and gives the ground state level, namely  $0 < c = m$ .

To obtain our results, we will follow some ideas introduced in [3].

## 2 Main results

Our main result is concerned with the particular case when  $f(s) = \lambda s e^{4\pi s^2}$  where  $0 < \lambda < 1$ .

**Theorem 2.1.** *Let  $0 < \lambda < 1$  and let*

$$f(s) := \lambda s e^{4\pi s^2} \quad \forall s \in \mathbb{R} . \quad (5)$$

*Then  $I \in \mathcal{C}^1(H^1(\mathbb{R}^2), \mathbb{R})$  has a mountain pass geometry, the mountain pass value  $c$  is a critical value and gives the ground state level, namely  $0 < c = m$ .*

Moreover, replacing assumption  $(f_\eta)$  of Theorem 1.3 with the following more natural assumption

$$(f_3) \quad \lim_{|s| \rightarrow +\infty} \frac{s f(s)}{e^{4\pi s^2}} \geq \beta_0 > 0,$$

we obtain the same result as in [3] (see Theorem 1.3 above).

**Theorem 2.2.** *Assume  $(f_0)$ ,  $(f_1)$ ,  $(f_2)$  and  $(f_3)$ . Then  $I \in \mathcal{C}^1(H^1(\mathbb{R}^2), \mathbb{R})$  has a mountain pass geometry, the mountain pass value  $c$  is a critical value and  $0 < c = m$ .*

Comparing Theorem 2.2 with the result obtained by Alves, Montenegro and Souto (see Theorem 1.3 above), we see that their hypothesis  $(f_\eta)$  about the behavior of  $f$  near zero is replaced by an assumption, i.e.  $(f_3)$ , at infinity.

We recall that assumption  $(f_3)$  for bounded domains was introduced in [1] (see also [6]) to obtain an existence result for elliptic equations with nonlinearities in the critical exponential growth range in bounded domains of  $\mathbb{R}^2$ . In a subsequent paper, [7],  $(f_3)$  was taken into account to prove an existence result for analogous equations in the whole space  $\mathbb{R}^2$ .

To prove Theorem 2.1 and Theorem 2.2 we will follow the methods of [3] which improve the ideas introduced in [8]. In the proof of Theorem 1.2 a key argument is the existence of a solution of problem (1) given in [5]. In [5] it was shown that under the assumptions  $(g_0)$ ,  $(g_1)$ ,  $(g_2)$  and  $(g_3)$

the nonlinear scalar field equation (1) possesses a nontrivial ground state solution by means of the constrained minimization method

$$\inf \left\{ \frac{1}{2} \int_{\mathbb{R}^2} |\nabla u|^2 dx \mid u \in H^1(\mathbb{R}^2) \setminus \{0\}, \int_{\mathbb{R}^2} G(u) dx = 0 \right\} .$$

The main difficulty, as highlighted in [3], for the proof of Theorem 1.3 is indeed to show that the infimum

$$A := \inf \left\{ \frac{1}{2} \int_{\mathbb{R}^2} |\nabla u|^2 dx \mid u \in H^1(\mathbb{R}^2) \setminus \{0\}, \int_{\mathbb{R}^2} G(u) dx = 0 \right\}$$

is achieved, provided that  $(f_0)$ ,  $(f_1)$ ,  $(f_2)$  and  $(f_\eta)$  with  $\eta > 0$  as in (4) hold. Therefore we point out that, following [3], as a by-product of the proofs of Theorem 2.1 and Theorem 2.2 we have

**Proposition 2.1.** *Assume either  $f$  is of the form (5) with  $0 < \lambda < 1$  or assume  $(f_0)$ ,  $(f_1)$ ,  $(f_2)$  and  $(f_3)$ . Then  $A$  is attained and the minimizer is, under a suitable change of scale, a solution of problem (2). In particular  $m \leq A$ .*

This paper is organized as follows. In Section 3 we show that the functional  $I$  has a mountain pass geometry and in Section 4 we introduce some preliminary results. In Section 5 we obtain a precise estimate for the mountain pass level  $c$  that will enable us to prove, in Section 6, Proposition 2.1. Finally in Section 7 we prove the main theorems, Theorem 2.1 and Theorem 2.2, and the following

**Proposition 2.2.** *Assume either  $f$  is of the form (5) with  $0 < \lambda < 1$  or assume  $(f_0)$ ,  $(f_1)$ ,  $(f_2)$  and  $(f_3)$ . Then the minimizer  $u \in H^1(\mathbb{R}^2)$  of  $A$  is a ground state solution of problem (2), that is  $m = A$ .*

### 3 Mountain pass geometry

If  $f$  is as in (5) with  $0 < \lambda < 1$  then, for fixed  $q > 2$  we have the existence of two constants  $c_1, c_2 > 0$  such that

$$|f(s)| \leq c_1 |s| + c_2 |s|^{q-1} (e^{4\pi s^2} - 1) \quad \forall s \in \mathbb{R} , \quad (6)$$

moreover, fixed  $q > 2$  we have that for any  $\varepsilon > 0$  there exists a constant  $C(q, \varepsilon) > 0$  such that

$$F(s) \leq \left( \frac{\lambda}{2} + \varepsilon \right) s^2 + C(q, \varepsilon) |s|^q (e^{4\pi s^2} - 1) \quad \forall s \in \mathbb{R} . \quad (7)$$

Note that (7) implies that  $F(u) \in L^1(\mathbb{R}^2)$  for any  $u \in H^1(\mathbb{R}^2)$  and thus the functional  $I : H^1(\mathbb{R}^2) \rightarrow \mathbb{R}$  is well defined. Furthermore, from (6) and using standard arguments (see [4], Theorem A.VI), it follows that  $I \in C^1(H^1(\mathbb{R}^2), \mathbb{R})$ .

Similarly in the case when  $(f_0)$  and  $(f_1)$  hold, fixed  $q > 2$ , for any  $\alpha > 4\pi$  and any  $\varepsilon > 0$  we have the existence of a constant  $C(q, \alpha, \varepsilon) > 0$  such that

$$|f(s)| \leq \varepsilon |s| + C(q, \alpha, \varepsilon) |s|^{q-1} (e^{\alpha s^2} - 1) \quad \forall s \in \mathbb{R} ,$$

and if in addition  $(f_2)$  holds then

$$F(s) \leq \frac{\varepsilon}{2} s^2 + C(q, \alpha, \varepsilon) |s|^q (e^{\alpha s^2} - 1) \quad \forall s \in \mathbb{R} . \quad (8)$$

Therefore also in the case when  $(f_0)$ ,  $(f_1)$  and  $(f_2)$  hold we have that the functional  $I$  is well defined and of class  $\mathcal{C}^1$  on  $H^1(\mathbb{R}^2)$ .

Obviously  $I(0) = 0$ , namely  $(I_0)$  holds. Now we prove that  $I$  satisfies also  $(I_1)$ .

**Lemma 3.1.** *Assume either  $f$  is of the form (5) with  $0 < \lambda < 1$  or assume  $(f_0)$ ,  $(f_1)$  and  $(f_2)$ . Then there exist  $\varrho, a > 0$  such that  $I(u) \geq a > 0$  for any  $u \in H^1(\mathbb{R}^2)$  with  $\|u\|_{H^1} = \varrho$ .*

*Proof.* We begin considering the case when  $f$  is of the form (5) with  $0 < \lambda < 1$ . Fixed  $q > 2$ , for any  $u \in H^1(\mathbb{R}^2)$  we have

$$\int_{\mathbb{R}^2} |u|^q (e^{4\pi u^2} - 1) dx \leq \|u\|_{2q}^q \left( \int_{\mathbb{R}^2} (e^{4\pi u^2} - 1)^2 dx \right)^{\frac{1}{2}} \leq \overline{C}_1 \|u\|_{H^1}^q \left( \int_{\mathbb{R}^2} (e^{8\pi u^2} - 1) dx \right)^{\frac{1}{2}}$$

where  $\overline{C}_1 > 0$  is a constant independent of  $u$  and we used the fact that the embedding  $H^1(\mathbb{R}^2) \hookrightarrow L^{2q}(\mathbb{R}^2)$  is continuous. Moreover, recalling the *Trudinger-Moser inequality* (3), we have the existence of a constant  $\overline{C}_2 > 0$  such that

$$\int_{\mathbb{R}^2} (e^{8\pi u^2} - 1) dx = \int_{\mathbb{R}^2} \left( e^{8\pi \|u\|_{H^1}^2 \left( \frac{u}{\|u\|_{H^1}} \right)^2} - 1 \right) dx \leq \overline{C}_2$$

for any  $u \in H^1(\mathbb{R}^2)$  with  $8\pi \|u\|_{H^1}^2 \leq 4\pi$ . Therefore applying (7), we get for any  $\varepsilon > 0$

$$\int_{\mathbb{R}^2} F(u) dx \leq \left( \frac{\lambda}{2} + \varepsilon \right) \|u\|_{H^1}^2 + \overline{C}(q, \varepsilon) \|u\|_{H^1}^q \quad \forall u \in H^1(\mathbb{R}^2), \|u\|_{H^1} \leq \frac{1}{\sqrt{2}}.$$

Let  $0 < \varrho < \frac{1}{\sqrt{2}}$ . Fixed  $q > 2$ , for any  $\varepsilon > 0$

$$I(u) \geq \frac{1}{2}(1 - \lambda - 2\varepsilon)\varrho^2 - \overline{C}(q, \varepsilon)\varrho^q \quad \forall u \in H^1(\mathbb{R}^2), \|u\|_{H^1} = \varrho,$$

and choosing  $\varepsilon > 0$  so that  $1 - \lambda - 2\varepsilon > 0$  and  $\varrho$  sufficiently small we have that

$$I(u) \geq a := \frac{1}{2}(1 - \lambda - 2\varepsilon)\varrho^2 - \overline{C}(q, \varepsilon)\varrho^q > 0.$$

Using (8) and arguing as before, it easy to prove that  $I$  satisfies  $(I_1)$  also in the case when  $(f_0)$ ,  $(f_1)$  and  $(f_2)$  hold.  $\square$

We end this section with the proof of  $(I_2)$ .

**Lemma 3.2.** *Assume either  $f$  is of the form (5) with  $0 < \lambda < 1$  or assume  $(f_0)$  and  $(f_2)$ . Then there exists  $u_0 \in H^1(\mathbb{R}^2)$  such that  $\|u_0\|_{H^1} > \varrho$  and  $I(u_0) < 0$ .*

*Proof.* We begin with the case when  $f$  is of the form (5). We fix  $u \in H^1(\mathbb{R}^2)$ . Using the definition of  $F$  and the power series expansion of the exponential function, we get

$$I(tu) \leq \frac{1}{2}t^2\|u\|_{H^1}^2 - \frac{\lambda}{2}t^2\|u\|_2^2 - \lambda\pi t^4\|u\|_4^4 \quad \forall t \geq 0,$$

from which we deduce that  $I(tu) \rightarrow -\infty$  as  $t \rightarrow +\infty$ . In the case when  $(f_0)$  and  $(f_2)$  hold, in particular for any  $M > 0$  there exists  $C_M > 0$  such that

$$F(s) \geq M|s|^2 - C_M \quad \forall s \in \mathbb{R}.$$

Therefore, fixed  $u \in \mathcal{C}_0^\infty(\mathbb{R}^2)$ , for any  $t \geq 0$  we can estimate

$$I(tu) \leq \frac{1}{2}t^2\|u\|_{H^1}^2 - Mt^2\|u\|_2^2 + C_M|\text{supp } u|$$

and choosing  $M$  sufficiently large we can conclude that  $I(tu) \rightarrow -\infty$  as  $t \rightarrow +\infty$ .  $\square$

## 4 Preliminary results

Let  $H_{\text{rad}}^1(\mathbb{R}^2)$  be the space of spherically symmetric functions belonging to  $H^1(\mathbb{R}^2)$ ,

$$H_{\text{rad}}^1(\mathbb{R}^2) := \{u \in H^1(\mathbb{R}^2) \mid u(x) = u(|x|) \text{ a.e. in } \mathbb{R}^2\}.$$

**Lemma 4.1.** *Assume that  $f$  is of the form (5) with  $0 < \lambda < 1$ . Let  $\{u_n\}_n \subset H_{\text{rad}}^1(\mathbb{R}^2)$  be a sequence satisfying*

$$\sup_n \|\nabla u_n\|_2^2 = \varrho < 1 \quad \text{and} \quad \sup_n \|u_n\|_2^2 = M < +\infty. \quad (9)$$

Then  $u_n \rightharpoonup u \in H_{\text{rad}}^1(\mathbb{R}^2)$  in  $H^1(\mathbb{R}^2)$  and

$$\int_{\mathbb{R}^2} F(u_n) dx - \frac{\lambda}{2}\|u_n\|_2^2 \xrightarrow{n \rightarrow +\infty} \int_{\mathbb{R}^2} F(u) dx - \frac{\lambda}{2}\|u\|_2^2.$$

Before proceeding with the proof of this Lemma, we point out that the *Trudinger-Moser inequality* (3) holds also if we replace the standard Sobolev norm with the modified norm

$$\|u\|_{H^1, \tau}^2 := \|\nabla u\|_2^2 + \tau\|u\|_2^2 \quad \forall u \in H^1(\mathbb{R}^2)$$

where  $\tau > 0$ . In fact in the proof of (3) given in [10] (see also [2]) the value  $\tau = 1$ , appearing in  $\|\cdot\|_{H^1} = \|\cdot\|_{H^1, 1}$  as a multiplicative constant for the  $L^2$ -norm, does not play any role and can be replaced by any  $\tau > 0$ . Therefore in [10] the author proved indeed that for any fixed  $\tau > 0$

$$\sup_{u \in H^1(\mathbb{R}^2), \|u\|_{H^1, \tau} \leq 1} \int_{\mathbb{R}^2} (e^{4\pi u^2} - 1) dx < +\infty. \quad (10)$$

This will enable us to prove Lemma 4.1.

*Proof of Lemma 4.1.* Let  $\{u_n\}_n \subset H_{\text{rad}}^1(\mathbb{R}^2)$  be a sequence satisfying (9) and  $u_n \rightharpoonup u \in H_{\text{rad}}^1(\mathbb{R}^2)$  in  $H^1(\mathbb{R}^2)$ . We have to show that

$$\int_{\mathbb{R}^2} P(u_n) dx \xrightarrow{n \rightarrow +\infty} \int_{\mathbb{R}^2} P(u) dx,$$

where

$$P(s) := F(s) - \frac{\lambda}{2}s^2 = \lambda \left[ \frac{1}{8\pi} (e^{4\pi s^2} - 1) - \frac{1}{2}s^2 \right].$$

To this aim, the idea is to apply the *compactness lemma of Strauss* (see Theorem A.I in [4]).

First, we notice that there exists  $\alpha_0 > 4\pi$  such that

$$\sup_n \int_{\mathbb{R}^2} (e^{\alpha_0 u_n^2} - 1) dx < +\infty. \quad (11)$$

In fact, since  $\varrho < 1$ , there exists  $\sigma > 0$  such that  $\varrho < 1 - \sigma < 1$ . Choosing  $0 < \tau < \frac{1-(\sigma+\varrho)}{M}$ , we have that  $\|u_n\|_{H^1, \tau}^2 < 1 - \sigma$  for any  $n \geq 1$ . Therefore applying (10), we can conclude that

$$\sup_n \int_{\mathbb{R}^2} (e^{\alpha u_n^2} - 1) dx < +\infty \quad \text{for any } 0 < \alpha \leq \frac{4\pi}{1-\sigma}$$

and, in particular, this last inequality holds for  $4\pi < \alpha \leq \frac{4\pi}{1-\sigma}$ .

It is easy to see that

$$\lim_{s \rightarrow 0} \frac{P(s)}{e^{\alpha_0 s^2} - 1} = 0$$

and, since  $\alpha_0 > 4\pi$ , we have also that

$$\lim_{|s| \rightarrow +\infty} \frac{P(s)}{e^{\alpha_0 s^2} - 1} = 0.$$

Moreover, recalling that the embedding  $H_{\text{rad}}^1(\mathbb{R}^2) \hookrightarrow L^p(\mathbb{R}^2)$  is compact for any  $p \in (2, +\infty)$ , we have that  $u_n \rightarrow u$  a.e. in  $\mathbb{R}^2$  and this together with the continuity assumption on  $f$  leads us to deduce that  $P(u_n) \rightarrow P(u)$  a.e. in  $\mathbb{R}^2$ . Finally, we can notice that  $u_n(x) \rightarrow 0$  as  $|x| \rightarrow +\infty$  uniformly with respect to  $n$ , as a consequence of the following *radial lemma*:

$$|v(x)| \leq \frac{1}{\sqrt{2\pi}} \frac{1}{\sqrt{|x|}} \|v\|_{H^1} \quad \text{a.e. in } \mathbb{R}^2, \quad (12)$$

which holds for any  $v \in H_{\text{rad}}^1(\mathbb{R}^2)$ .

Then, applying the *compactness lemma of Strauss*, we can conclude that  $P(u_n)$  converges to  $P(u)$  in  $L^1(\mathbb{R}^2)$  as  $n \rightarrow +\infty$ .  $\square$

We recall that in [3] the authors proved the following result

**Lemma 4.2.** *Assume  $(f_0)$  and  $(f_1)$ . Let  $\{u_n\}_n \subset H_{\text{rad}}^1(\mathbb{R}^2)$  be a sequence satisfying conditions (9) of Lemma 4.1. Then  $u_n \rightharpoonup u \in H_{\text{rad}}^1(\mathbb{R}^2)$  in  $H^1(\mathbb{R}^2)$  and*

$$\int_{\mathbb{R}^2} F(u_n) dx \rightarrow \int_{\mathbb{R}^2} F(u) dx.$$

We can notice that the proof of this lemma can be achieved arguing as in the proof of Lemma 4.1 but letting  $P(s) := F(s)$ .

We now prove that the infimum  $A$  is strictly positive, but before we point out that whenever we deal with a minimizing sequence for  $A$ , that is a sequence  $\{u_n\}_n \subset H^1(\mathbb{R}^2) \setminus \{0\}$  such that

$$\int_{\mathbb{R}^2} G(u_n) dx = 0 \quad \forall n \geq 1 \quad \text{and} \quad \frac{1}{2} \int_{\mathbb{R}^2} |\nabla u_n|^2 dx \xrightarrow{n \rightarrow +\infty} A;$$

without loss of generality we may assume that  $\{u_n\}_n \subset H_{\text{rad}}^1(\mathbb{R}^2) \setminus \{0\}$  and that  $\|u_n\|_2 = 1$ . In fact if  $\{u_n\}_n \subset H^1(\mathbb{R}^2) \setminus \{0\}$  is a minimizing sequence for  $A$  then the sequence  $\{u_n^*\}_n \subset H^1(\mathbb{R}^2) \setminus \{0\}$ ,

where  $u_n^*$  is the spherically symmetric decreasing rearrangement of  $u_n$ , is a minimizing sequence too. Furthermore letting

$$v_n(x) := u_n(x\|u\|_2) \quad \text{for a.e. } x \in \mathbb{R}^2$$

for any  $n \geq 1$ , we have that

$$\frac{1}{2} \int_{\mathbb{R}^2} |\nabla v_n|^2 = \frac{1}{2} \int_{\mathbb{R}^2} |\nabla u_n|^2, \quad \int_{\mathbb{R}^2} G(v_n) dx = \frac{1}{\|u_n\|_2^2} \int_{\mathbb{R}^2} G(u_n) dx = 0$$

and  $\|v_n\|_2 = 1$ .

**Lemma 4.3.** *Assume either  $f$  is of the form (5) with  $0 < \lambda < 1$ , or assume  $(f_0)$  and  $(f_1)$ . Then  $A > 0$ .*

*Proof.* In the case that we assume  $(f_0)$  and  $(f_1)$ , since Lemma 4.2 holds, we can argue as in the proof of [3], Lemma 5.3 to conclude that  $A > 0$ . Therefore we only consider the case when  $f(s) := \lambda s e^{4\pi s^2}$  with  $0 < \lambda < 1$ . Obviously  $A \geq 0$  and we argue by contradiction assuming that  $A = 0$ . Then there exists  $\{u_n\}_n \subset H_{\text{rad}}^1(\mathbb{R}^2) \setminus \{0\}$  with  $\|u_n\|_2 = 1 \ \forall n \geq 1$  and

$$\int_{\mathbb{R}^2} G(u_n) dx = 0 \quad \forall n \geq 1, \quad \frac{1}{2} \int_{\mathbb{R}^2} |\nabla u_n|^2 dx \xrightarrow{n \rightarrow +\infty} 0.$$

Let  $u \in H_{\text{rad}}^1(\mathbb{R}^2)$  be the weak limit of  $\{u_n\}_n$  in  $H^1(\mathbb{R}^2)$ , then from Lemma 4.1 it follows that

$$\int_{\mathbb{R}^2} F(u_n) dx - \frac{\lambda}{2} = \int_{\mathbb{R}^2} F(u_n) dx - \frac{\lambda}{2} \|u_n\|_2^2 \xrightarrow{n \rightarrow +\infty} \int_{\mathbb{R}^2} F(u) dx - \frac{\lambda}{2} \|u\|_2^2.$$

Since

$$0 = \int_{\mathbb{R}^2} G(u_n) dx = \int_{\mathbb{R}^2} F(u_n) dx - \frac{1}{2} \|u_n\|_2^2 = \int_{\mathbb{R}^2} F(u_n) dx - \frac{1}{2}, \quad \text{i.e.} \quad \int_{\mathbb{R}^2} F(u_n) dx = \frac{1}{2}, \quad (13)$$

we have that

$$\int_{\mathbb{R}^2} F(u) dx - \frac{\lambda}{2} \|u\|_2^2 = \frac{1}{2} (1 - \lambda) > 0$$

from which it follows that  $u \neq 0$ . On the other hand, the weak convergence  $u_n \rightharpoonup u$  in  $H^1(\mathbb{R}^2)$  implies that

$$0 = \liminf_{n \rightarrow +\infty} \frac{1}{2} \int_{\mathbb{R}^2} |\nabla u_n|^2 dx \geq \frac{1}{2} \int_{\mathbb{R}^2} |\nabla u|^2 dx \geq 0,$$

that is  $u \equiv 0$ , which leads to a contradiction.  $\square$

We introduce the set  $\mathcal{P}$  of non-trivial functions satisfying the Pohozaev identity

$$\mathcal{P} := \left\{ u \in H^1(\mathbb{R}^2) \setminus \{0\} \mid \int_{\mathbb{R}^2} G(u) dx = 0 \right\}$$

and we can notice that

$$A = \inf_{u \in \mathcal{P}} \frac{1}{2} \int_{\mathbb{R}^2} |\nabla u|^2 dx.$$

Since  $A > 0$ , arguing as in the proof of [8], Lemma 4.1 we have the following result



**Lemma 4.4.** *Assume either  $f$  is of the form (5) with  $0 < \lambda < 1$ , or assume  $(f_0)$  and  $(f_1)$ . Then*

$$\gamma([0, 1]) \cap \mathcal{P} \neq \emptyset \quad \gamma \in \Gamma .$$

This lemma leads to the following relation between the infimum  $A$  and the mountain pass level  $c$

**Lemma 4.5.** *Assume either  $f$  is of the form (5) with  $0 < \lambda < 1$ , or assume  $(f_0)$  and  $(f_1)$ . Then the infimum  $A$  satisfies the inequality  $A \leq c$ .*

*Proof.* Let  $\gamma \in \Gamma$  and let  $t_0 \in (0, 1]$  be such that  $\gamma(t_0) \in \mathcal{P}$ , the existence of such a  $t_0$  is guaranteed by Lemma 4.4. Since  $\gamma(t_0) \in \mathcal{P}$ , we have

$$I(\gamma(t_0)) = \frac{1}{2} \int_{\mathbb{R}^2} |\nabla u|^2 dx$$

and thus

$$\max_{t \in [0, 1]} I(\gamma(t)) \geq I(\gamma(t_0)) = \frac{1}{2} \int_{\mathbb{R}^2} |\nabla u|^2 dx \geq A . \quad (14)$$

From the arbitrary choice of  $\gamma \in \Gamma$ , inequality (14) holds for any  $\gamma \in \Gamma$  and hence  $c \geq A$ .  $\square$

## 5 Estimate of the mountain pass level $c$

In order to get an upper bound for the mountain pass level  $c$  we will show the existence of  $u \in H^1(\mathbb{R}^2)$  such that

$$\max_{t \geq 0} I(tu) < \frac{1}{2} . \quad (15)$$

This gives indeed more precise information about the mountain pass level  $c$ , in fact from (15) it is easy to deduce the existence of  $\gamma \in \Gamma$  such that

$$c \leq \max_{t \in [0, 1]} I(\gamma(t)) < \frac{1}{2} . \quad (16)$$

First, we consider the case when  $f$  is as in (5) with  $0 < \lambda < 1$ . To obtain the existence of  $u \in H^1(\mathbb{R}^2)$  which satisfies the inequality (15), the fact that

$$\lim_{|s| \rightarrow +\infty} \frac{sf(s)}{e^{4\pi s^2}} = +\infty \quad (17)$$

plays an important role. In particular we can notice from (17) it follows that for fixed

$$\beta_0 > \frac{1}{\pi} \quad (18)$$

there exists  $\bar{s} = \bar{s}(\beta_0) > 0$  such that

$$sf(s) \geq \beta_0 e^{4\pi s^2} \quad \forall |s| \geq \bar{s} . \quad (19)$$

We consider the modified *Moser sequence* introduced in [6]:

$$\bar{\omega}_n(x) := \frac{1}{\sqrt{2\pi}} \begin{cases} (\log n)^{\frac{1}{2}} & 0 \leq |x| \leq \frac{1}{n}, \\ \frac{\log \frac{1}{|x|}}{(\log n)^{\frac{1}{2}}} & \frac{1}{n} \leq |x| \leq 1, \\ 0 & |x| \geq 1. \end{cases}$$

We have  $\bar{\omega}_n \in H_0^1(B_1) \subset H^1(\mathbb{R}^2)$ ,  $\|\nabla \bar{\omega}_n\|_2 = 1$  and  $\|\bar{\omega}_n\|_2^2 = \mathcal{O}(1/\log n)$ . Then we define

$$\omega_n := \frac{\bar{\omega}_n}{\|\bar{\omega}_n\|_{H^1}}.$$

**Lemma 5.1.** *Assume  $f$  is of the form (5) with  $0 < \lambda < 1$ . Then there exists  $n \geq 1$  such that*

$$\max_{t \geq 0} I(t\omega_n) < \frac{1}{2}.$$

*Proof.* We argue by contradiction assuming that for any  $n \geq 1$  we have  $\max_{t \geq 0} I(t\omega_n) \geq \frac{1}{2}$ . For any  $n \geq 1$ , let  $t_n > 0$  be such that  $I(t_n\omega_n) = \max_{t \geq 0} I(t\omega_n) \geq 1/2$ , then we can estimate

$$\frac{1}{2} \leq I(t_n\omega_n) = \frac{1}{2}t_n^2\|\omega_n\|_{H^1}^2 - \int_{\mathbb{R}^2} F(t_n\omega_n) dx \leq \frac{1}{2}t_n^2$$

and thus  $t_n^2 \geq 1$ ,  $\forall n \geq 1$ . At  $t = t_n$  we have

$$0 = \frac{d}{dt} I(t\omega_n) \Big|_{t=t_n} = t_n - \int_{\mathbb{R}^2} f(t_n\omega_n)\omega_n dx,$$

which implies that

$$t_n^2 = \int_{\mathbb{R}^2} f(t_n\omega_n)t_n\omega_n dx. \quad (20)$$

We claim that  $\{t_n\}_n \subset \mathbb{R}$  is bounded. In fact, since

$$t_n\omega_n = \frac{t_n}{\|\bar{\omega}_n\|_{H^1}} \frac{1}{\sqrt{2\pi}} \sqrt{\log n} \rightarrow +\infty \quad \text{in } B_{\frac{1}{n}},$$

it follows from (19) that at least for  $n \geq 1$  sufficiently large

$$t_n^2 \geq \int_{B_{\frac{1}{n}}} f(t_n\omega_n)t_n\omega_n dx \geq \beta_0 \int_{B_{\frac{1}{n}}} e^{4\pi(t_n\omega_n)^2} dx = \frac{\pi}{n^2} \beta_0 e^{2 \frac{t_n^2}{\|\bar{\omega}_n\|_{H^1}^2} \log n}. \quad (21)$$

Consequently

$$1 \geq \pi \beta_0 e^{2 \frac{t_n^2}{\|\bar{\omega}_n\|_{H^1}^2} \log n - 2 \log t_n - 2 \log n}$$

for  $n \geq 1$  sufficiently large, and thus  $\{t_n\}_n$  must be bounded.

We claim that  $t_n^2 \rightarrow 1$  as  $n \rightarrow +\infty$ . Since  $t_n^2 \geq 1 \forall n \geq 1$ , we argue by contradiction assuming that  $\lim_{n \rightarrow +\infty} t_n^2 > 1$ . Recalling (21), for  $n \geq 1$  sufficiently large we have

$$t_n^2 \geq \pi \beta_0 e^{2 \log n \left( \frac{t_n^2}{\|\bar{\omega}_n\|_{H^1}^2} - 1 \right)}$$

and letting  $n \rightarrow +\infty$  we get a contradiction with the boundedness of the sequence  $\{t_n\}_n$ .

In order to estimate (20) more precisely, we define the sets  $A_n := \{x \in B_1 \mid t_n \omega_n(x) \geq \bar{s}\}$  and  $C_n := B_1 \setminus A_n$  where  $\bar{s} > 0$  is given in (19). With (20) and (19) we can estimate for any  $n \geq 1$

$$t_n^2 \geq \int_{B_1} f(t_n \omega_n) t_n \omega_n dx \geq \beta_0 \int_{B_1} e^{4\pi t_n^2 \omega_n^2} dx + \int_{C_n} f(t_n \omega_n) t_n \omega_n dx - \beta_0 \int_{C_n} e^{4\pi t_n^2 \omega_n^2} dx \quad (22)$$

Since  $\omega_n \rightarrow 0$  a.e. in  $B_1$ , from the definition of  $C_n$  we obtain that the characteristic functions  $\chi_{C_n} \rightarrow 1$  a.e. in  $B_1$ , and the Lebesgue dominated convergence theorem implies that

$$\int_{C_n} f(t_n \omega_n) t_n \omega_n dx \rightarrow 0, \quad \int_{C_n} e^{4\pi t_n^2 \omega_n^2} dx \rightarrow \pi \quad \text{as } n \rightarrow +\infty.$$

If we prove that

$$\lim_{n \rightarrow +\infty} \int_{B_1} e^{4\pi t_n^2 \omega_n^2} dx \geq 2\pi \quad (23)$$

then by (22)  $1 = \lim_{n \rightarrow +\infty} t_n^2 \geq \pi \beta_0$  which is in contradiction with (18). To end the proof it remains only to show that inequality (23) holds. Since  $t_n^2 \geq 1$ , we have

$$\int_{B_1} e^{4\pi t_n^2 \omega_n^2} dx \geq \int_{B_1 \setminus B_{\frac{1}{n}}} e^{4\pi \omega_n^2} dx = 2\pi \int_{\frac{1}{n}}^1 e^{\frac{2}{\|\bar{\omega}_n\|_{H^1}^2} \frac{1}{\log n} \log^2\left(\frac{1}{s}\right)} s ds$$

and if we make the change of variable

$$\tau = \frac{\log \frac{1}{s}}{\|\bar{\omega}_n\|_{H^1} \log n}$$

then we obtain the following estimate

$$\int_{B_1 \setminus B_{\frac{1}{n}}} e^{4\pi t_n^2 \omega_n^2} dx \geq 2\pi \|\bar{\omega}_n\|_{H^1} \log n \int_0^{\frac{1}{\|\bar{\omega}_n\|_{H^1}}} e^{2 \log n (\tau^2 - \tau \|\bar{\omega}_n\|_{H^1})} d\tau.$$

Now it suffices to notice that

$$\tau^2 - \tau \|\bar{\omega}_n\|_{H^1} \geq \begin{cases} -\|\tau \bar{\omega}_n\|_{H^1} & , 0 \leq \tau \leq \frac{1}{2\|\bar{\omega}_n\|_{H^1}} \\ \left(\frac{2}{\|\bar{\omega}_n\|_{H^1}^2} - \|\bar{\omega}_n\|_{H^1}\right) \left(\tau - \frac{1}{\|\bar{\omega}_n\|_{H^1}}\right) + \frac{1}{\|\bar{\omega}_n\|_{H^1}^2} - 1 & , \frac{1}{2\|\bar{\omega}_n\|_{H^1}} \leq \tau \leq \frac{1}{\|\bar{\omega}_n\|_{H^1}} \end{cases}$$

to conclude that (23) holds.  $\square$

Next, we consider the case when  $(f_2)$  and  $(f_3)$  hold. In this case, as a consequence of  $(f_3)$ , we have that for any  $\varepsilon > 0$  there exists  $s_\varepsilon > 0$  such that  $s f(s) \geq (\beta_0 - \varepsilon) e^{4\pi s^2} \forall |s| \geq s_\varepsilon$ . Let  $r > 0$  be such that  $\beta_0 > 1/(r^2 \pi)$ , we consider the modified *Moser's sequence* introduced in [7]:

$$\bar{M}_n(x) := \frac{1}{\sqrt{2\pi}} \begin{cases} (\log n)^{\frac{1}{2}} & 0 \leq |x| \leq \frac{r}{n}, \\ \frac{\log \frac{r}{|x|}}{(\log n)^{\frac{1}{2}}} & \frac{r}{n} \leq |x| \leq r, \\ 0 & |x| \geq r. \end{cases}$$

Arguing as before (see also [7], Lemma 4.4) we have the following result

**Lemma 5.2.** Assume  $(f_2)$  and  $(f_3)$ . Then there exists  $n \in \mathbb{N}$  such that

$$\max_{t \geq 0} I(tM_n) < \frac{1}{2} \quad \text{where } M_n := \frac{\overline{M}_n}{\|\overline{M}_n\|_{H^1}}.$$

## 6 The infimum $A$ is attained

In this Section we will prove Proposition 2.1. We can notice that in either case, when  $f$  is of the form (5) with  $0 < \lambda < 1$ , or when  $(f_0)$ ,  $(f_1)$ ,  $(f_2)$  and  $(f_3)$  hold, if the infimum  $A$  is attained then the minimizer  $u \in H_{\text{rad}}^1(\mathbb{R}^2) \setminus \{0\}$  is a solution of problem (2), under a suitable change of scale. In fact, if  $u \in H_{\text{rad}}^1(\mathbb{R}^2) \setminus \{0\}$  is such that

$$\frac{1}{2} \int_{\mathbb{R}^2} |\nabla u|^2 dx = A \quad \text{and} \quad \int_{\mathbb{R}^2} G(u) dx = 0$$

then there exists a Lagrange multiplier  $\theta \in \mathbb{R}$ , namely

$$\int_{\mathbb{R}^2} \nabla u \cdot \nabla v dx = \theta \int_{\mathbb{R}^2} g(u)v dx \quad \forall v \in H^1(\mathbb{R}^2).$$

*Claim:* The Lagrange multiplier  $\theta$  is positive.

*Proof of the claim.* First, we can notice that the case  $\theta = 0$  does not occur, since by assumption  $u \neq 0$ . We infer that  $\theta > 0$ . In fact, suppose by contradiction that  $\theta < 0$ . Then arguing as in [4], we can find  $w \in H^1(\mathbb{R}^2)$  satisfying

$$\int_{\mathbb{R}^2} G(u + \varepsilon w) dx > 0 \quad \text{and} \quad \|\nabla(u + \varepsilon w)\|_2^2 < \|\nabla u\|_2^2$$

for some  $\varepsilon > 0$  sufficiently small. Moreover, we may assume that  $u + \varepsilon w \neq 0$ . Now we define  $h \in \mathcal{C}([0, 1], \mathbb{R})$  as

$$h(t) := \int_{\mathbb{R}^2} G(t[u + \varepsilon w]) dx.$$

By construction  $h(0) = 0$  and  $h(1) > 0$ .

Assume that  $f$  is of the form (5) with  $0 < \lambda < 1$ . Then from (7) it follows that

$$\begin{aligned} \left| \int_{\mathbb{R}^2} F(t[u + \varepsilon w]) dx \right| &\leq \left( \frac{\lambda}{2} + \varepsilon \right) t^2 \|u + \varepsilon w\|_2^2 + C(q, \varepsilon) t^q \int_{\mathbb{R}^2} |u + \varepsilon w|^q (e^{4\pi t^2(u + \varepsilon w)^2} - 1) dx \leq \\ &\leq \left( \frac{\lambda}{2} + \varepsilon \right) t^2 \|u + \varepsilon w\|_2^2 + C(q, \varepsilon) t^q \|u + \varepsilon w\|_{2q}^q \left( \int_{\mathbb{R}^2} (e^{8\pi t^2(u + \varepsilon w)^2} - 1) dx \right)^{\frac{1}{2}}. \end{aligned}$$

Since  $0 \leq t^2 \|u + \varepsilon w\|_{H^1}^2 \rightarrow 0$  as  $t \rightarrow 0$ , there exists  $t_0 \in (0, 1)$  such that for  $0 < t < t_0$  we have

$$\left| \int_{\mathbb{R}^2} F(t[u + \varepsilon w]) dx \right| \leq \left( \frac{\lambda}{2} + \varepsilon \right) t^2 \|u + \varepsilon w\|_2^2 + \tilde{C}(q, \varepsilon) t^q \|u + \varepsilon w\|_{2q}^q,$$

as a consequence of the *Trudinger-Moser inequality* (3). Thus, for  $0 < t < t_0$

$$h(t) \leq \left( \frac{\lambda}{2} + \varepsilon - \frac{1}{2} \right) t^2 \|u + \varepsilon w\|_2^2 + \tilde{C}(q, \varepsilon) t^q \|u + \varepsilon w\|_{2q}^q$$

and choosing  $\varepsilon > 0$  so small that  $\frac{\lambda}{2} + \varepsilon - \frac{1}{2} < 0$ , we deduce that  $h(t) < 0$  for sufficiently small  $t > 0$ . In the case when  $(f_0)$ ,  $(f_1)$ ,  $(f_2)$  and  $(f_3)$  hold, we can achieve the same conclusion applying (8).

Hence, in either case, when  $f$  is of the form (5) or when  $(f_0)$ ,  $(f_1)$ ,  $(f_2)$  and  $(f_3)$  hold, we have  $h(t) < 0$  for sufficiently small  $t > 0$ . Consequently, there exists  $t_1 \in (0, 1)$  such that  $h(t_1) = 0$ , which means that  $t_1(u + \varepsilon w) \in H^1(\mathbb{R}^2)$  satisfies the constraint condition

$$\int_{\mathbb{R}^2} G(t_1[u + \varepsilon w]) dx = 0$$

and, since  $u$  is a minimizer for  $A$ ,

$$\frac{1}{2} \|\nabla u\|_2^2 \leq \frac{1}{2} \|t_1 \nabla(u + \varepsilon w)\|_2^2 < \frac{1}{2} \|\nabla(u + \varepsilon w)\|_2^2 < \frac{1}{2} \|\nabla u\|_2^2.$$

This is a contradiction and  $\theta$  must be positive; hence the claim is proved.

Since  $\theta > 0$ , we can set

$$u_\theta(x) := u\left(\frac{x}{\sqrt{\theta}}\right) \quad \text{for a.e. } x \in \mathbb{R}^2. \quad (24)$$

Then  $u_\theta$  is a non-trivial solution of problem (2) and hence  $m \leq I(u_\theta)$ . Moreover

$$\int_{\mathbb{R}^2} |\nabla u_\theta|^2 dx = \int_{\mathbb{R}^2} |\nabla u|^2 dx = A, \quad \int_{\mathbb{R}^2} G(u_\theta) dx = \theta \int_{\mathbb{R}^2} G(u) dx = 0,$$

from which we get  $I(u_\theta) = \frac{1}{2} \int_{\mathbb{R}^2} |\nabla u_\theta|^2 dx = A$  and thus  $m \leq A$ .

Therefore to prove Proposition 2.1, it remains to show that the infimum  $A$  is achieved. The proof in the case in which we assume  $(f_0)$ ,  $(f_1)$ ,  $(f_2)$  and  $(f_3)$  can be easily reduced to the proof of [3], Theorem 1.4. It suffices to notice that from Lemma 4.5 and from inequality (16) it follows that  $A < 1/2$ , and thus we are in the same framework of the proof of [3], Theorem 1.4.

*Proof of Proposition 2.1 in the case  $f(s) := \lambda s e^{4\pi s^2} \forall s \in \mathbb{R}$  with  $0 < \lambda < 1$ .* From Lemma 4.5 and from inequality (16), it follows that  $A < 1/2$ .

Let  $\{u_n\}_n \in H_{\text{rad}}^1(\mathbb{R}^2) \setminus \{0\}$ ,  $\|u_n\|_2 = 1$ ,  $\forall n \geq 1$ , be a minimizing sequence for  $A$ :

$$\int_{\mathbb{R}^2} G(u_n) dx = 0 \quad \forall n \geq 1 \quad \text{and} \quad \frac{1}{2} \int_{\mathbb{R}^2} |\nabla u_n|^2 dx \xrightarrow{n \rightarrow +\infty} A. \quad (25)$$

We will prove that the weak limit  $u \in H_{\text{rad}}^1(\mathbb{R}^2)$  of  $\{u_n\}_n$  in  $H^1(\mathbb{R}^2)$  is a minimizer for  $A$ .

Since

$$\limsup_{n \rightarrow +\infty} \int_{\mathbb{R}^2} |\nabla u_n|^2 dx = 2A < 1,$$

the assumptions of Lemma 4.1 are satisfied. Arguing as in (13), we deduce that

$$\int_{\mathbb{R}^2} F(u) dx - \frac{\lambda}{2} \|u\|_2^2 = \frac{1}{2} (1 - \lambda) > 0 \quad (26)$$

which in particular implies that  $u \neq 0$ .

From the weak convergence  $u_n \rightharpoonup u$  in  $H^1(\mathbb{R}^2)$ , we get

$$A = \liminf_{n \rightarrow +\infty} \frac{1}{2} \int_{\mathbb{R}^2} |\nabla u_n|^2 \geq \frac{1}{2} \int_{\mathbb{R}^2} |\nabla u|^2 .$$

Let

$$h(t) := \int_{\mathbb{R}^2} G(tu) dx = \int_{\mathbb{R}^2} F(tu) dx - \frac{t^2}{2} \|u\|_2^2 \quad \forall t > 0 ;$$

to conclude the proof it suffices to prove that  $h(1) = 0$ . Since  $u_n \rightharpoonup u$  in  $H^1(\mathbb{R}^2)$ , we have  $\|u\|_2^2 \leq \liminf_{n \rightarrow +\infty} \|u_n\|_2^2 = 1$  and this together with (26) gives

$$\begin{aligned} h(1) &= \int_{\mathbb{R}^2} F(u) dx - \frac{1}{2} \|u\|_2^2 = \int_{\mathbb{R}^2} F(u) dx - \frac{\lambda}{2} \|u\|_2^2 + \frac{1}{2} (\lambda - 1) \|u\|_2^2 = \\ &= \frac{1}{2} (1 - \lambda) + \frac{1}{2} (\lambda - 1) \|u\|_2^2 = \frac{1}{2} (1 - \lambda) (1 - \|u\|_2^2) \geq 0 . \end{aligned}$$

We argue by contradiction assuming that  $h(1) \neq 0$ , that is  $h(1) > 0$ . Using the definition of  $F$  and the power series expansion of the exponential function, for any  $t \in (0, 1)$  we have

$$\int_{\mathbb{R}^2} F(tu) dx \leq \frac{\lambda}{2} t^2 \|u\|_2^2 + t^4 \frac{\lambda}{8\pi} \sum_{j=2}^{+\infty} \frac{(4\pi)^j}{j!} \int_{\mathbb{R}^2} u^{2j} dx \leq \frac{\lambda}{2} t^2 \|u\|_2^2 + t^4 \frac{\lambda}{8\pi} \int_{\mathbb{R}^2} (e^{4\pi u^2} - 1) dx .$$

Hence for any  $t \in (0, 1)$

$$h(t) \leq \frac{1}{2} (\lambda - 1) t^2 \|u\|_2^2 + t^4 \frac{\lambda}{8\pi} \int_{\mathbb{R}^2} (e^{4\pi u^2} - 1) dx ,$$

from which we deduce that  $h(t) < 0$  for  $t > 0$  sufficiently small. But, by assumption,  $h(1) > 0$  and thus there exists  $t_0 \in (0, 1)$  such that  $h(t_0) = 0$ . Consequently, recalling the definition of  $h$ , we have

$$A \leq \frac{1}{2} \int_{\mathbb{R}^2} |\nabla(t_0 u)|^2 dx = \frac{1}{2} t_0^2 \int_{\mathbb{R}^2} |\nabla u|^2 dx \leq t_0^2 A < A$$

which is a contradiction.  $\square$

## 7 Proofs of Theorem 2.1 and Theorem 2.2

In order to prove Theorem 2.1 and Theorem 2.2 we can notice that, both in the case when  $f$  is of the form (5) with  $0 < \lambda < 1$  and in the case when  $(f_0)$ ,  $(f_1)$ ,  $(f_2)$  and  $(f_3)$  hold, from Proposition 2.1 we have  $m \leq A$ . Moreover, Lemma 4.5 tells us that  $A \leq c$  and hence  $m \leq c$ . It remains only to show that

$$m \geq c \tag{27}$$

to conclude that the mountain pass level  $c$  gives the ground state level.

In [8] the authors proved the following result

**Theorem 7.1** ([8], Lemma 2.1). *Assume  $(g_0)$ ,  $(g_1)$ ,  $(g_2)$  and  $(g_3)$  as in Theorem 1.2. Then for any solution  $u$  of (1) there exists a path  $\gamma \in \Gamma$  such that  $u \in \gamma([0, 1])$  and*

$$\max_{t \in [0, 1]} I(\gamma(t)) = I(u) .$$

It is easy to see that the proof of this theorem works also under our assumptions and this leads to (27).

Indeed, we can notice that in this way we proved that  $m = A = c$ . Hence if  $u \in H^1(\mathbb{R}^2)$  is a minimizer for  $A$  and we define  $u_\theta$  as in (24) then  $u_\theta$  is a ground state solution of problem (2). This gives the proof of Proposition 2.2.

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